PTO/SB/05 (4/98)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Please type a plus sign (+) inside this box → + Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Attorney Docket No. ORCL5585 UTILITY First Inventor or Application Identifier MISHRA, Shailendra K. PATENT APPLICATION METHODS AND SYSTEMS FOR ASYNCHRONOUS NOTIFICATION Title OF DATABASE EVENTS TRANSMITTAL EL 426 760 035 US Express Mail Label No. Only for new nonprovisional applications under 37 C.F.R. § 1.53(b) **Assistant Commissioner for Patents** APPLICATION ELEMENTS ADDRESS TO: **Box Patent Application** See MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 Fee Transmittal Form (e.g., PTO/SB/17) 5. Microfiche Computer Program (Appendix) (Submit an original and a duplicate for fee processing) 6. Nucleotide and/or Amino Acid Sequence Submission X Specification [Total Pages (if applicable, all necessary) (preferred arrangement set forth below) Computer Readable Copy a. - Descriptive title of the Invention - Cross References to Related Applications b. Paper Copy (identical to computer copy) - Statement Regarding Fed sponsored R & D C. Statement verifying identity of above copies - Reference to Microfiche Appendix - Background of the Invention ACCOMPANYING APPLICATION PARTS - Brief Summary of the Invention X Assignment Papers (cover sheet & document(s)) - Brief Description of the Drawings (if filed) 37 C.F.R.§3.73(b) Statement | Power of - Detailed Description \mathbf{X} 8. (when there is an assignee) Attorney Claim(s) 9 English Translation Document (if applicable) - Abstract of the Disclosure Copies of IDS Information Disclosure Drawing(s) (35 U.S.C. 113) 10. Total Sheets Statement (IDS)/PTO-1449 Citations 11. **Preliminary Amendment** Oath or Declaration [Total Pages Return Receipt Postcard (MPEP 503) X Newly executed (original or copy) X 12. (Should be specifically itemized) Copy from a prior application (37 C.F.R. § 1.63(d)) * Small Entity Statement filed in prior application, (for continuation/divisional with Box 16 completed) 13. Statement(s) Status still proper and desired **DELETION OF INVENTOR(S)** (PTO/SB/09-12) Signed statement attached deleting Certified Copy of Priority Document(s) inventor(s) named in the prior application, (if foreign priority is claimed) see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b). 15. NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTIT REFERS, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28). 16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment: Continuation Divisional Continuation-in-part (CIP) of prior application No: Prior application information: Examiner Group / Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disciosure of the prior application, from which an oath or deciaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. CORRESPONDENCE ADDRESS Customer Number or Bar Code Label X Correspondence address below de label here) YOUNG LAW FIRM, P.C. Name Alan W. Young, Esq. PATENT TRACEMARK OFFICE 4370 Alpine Road Address Portola Valley CA 94028 City State Zip Code USA Country (650) 851-7210 Telephone (650) 851-7232 Fax

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37,970

Jan 24.

Date

Registration No. (Attorney/Agent)

Alan W. Young

Name (Print/Type)

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FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

WARNING:

(\$)	1,126.00

Complete if Known		
Application Number	To Be Assigned	
Filing Date	To Be Assigned	
First Named Inventor	Mishra et al.	,
Examiner Name	To Be Assigned	
Group / Art Unit	To Be Assigned	
Attorney Docket No.	ORCL5585	

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)			
1. The Commissioner is hereby authorized to charge	3. ADDITIONAL FEES			
indicated fees and credit any overpayments to:	Large Entity Small Entity Fee			
Deposit Account	Code (\$) Code (\$)	Fee Paid		
Number	105 130 205 65 Surcharge - late filing fee or oath			
Deposit Account	127 50 227 25 Surcharge - late provisional filing fee or cover sheet.			
Name	139 130 139 130 Non-English specification			
Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17	147 2,520 147 2,520 For filing a request for reexamination			
2. X Payment Enclosed:	- 112 920* 112 920* Requesting publication of SIR prior to Examiner action			
X Check Money Other	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action			
FEE CALCULATION	115 110 215 55 Extension for reply within first month			
	116 380 216 190 Extension for reply within second month			
1. BASIC FILING FEE	117 870 217 435 Extension for reply within third month	·		
Large Entity Small Entity Fee Fee Fee Fee Description	118 1,360 218 680 Extension for reply within fourth month			
Code (\$) Code (\$) Fee Paid	128 1,850 228 925 Extension for reply within fifth month			
101 690 201 345 Utility filing fee 690	119 300 219 150 Notice of Appeal			
106 310 206 155 Design filing fee	120 300 220 150 Filing a brief in support of an appeal			
107 480 207 240 Plant filing fee	121 260 221 130 Request for oral hearing			
108 690 208 345 Reissue filing fee	138 1,510 138 1,510 Petition to institute a public use proceeding			
114 150 214 75 Provisional filing fee	140 110 240 55 Petition to revive - unavoidable			
SUBTOTAL (1) (\$) 690.00	141 1,210 241 605 Petition to revive - unintentional			
2. EXTRA CLAIM FEES	142 1,210 242 605 Utility issue fee (or reissue)			
Fee from Extra Claims below Fee Paid	143 430 243 215 Design issue fee			
Total Claims 42 -20** = 22 × 18 = 396	144 580 244 290 Plant issue fee			
Independent 3 - 3** = 3 × 78 = 0	122 130 122 130 Petitions to the Commissioner			
Multiple Dependent =	123 50 123 50 Petitions related to provisional applications			
**or number previously paid, if greater, For Reissues, see below	126 240 126 240 Submission of Information Disclosure Stmt			
Large Entity Small Entity Fee Fee Fee Fee Fee Description	581 40 581 40 Recording each patent assignment per			
Code (\$) Code (\$)	property (times number of properties)	X		
103 18 203 9 Claims in excess of 20	146 690 246 345 Filing a submission after final rejection (37 CFR § 1.129(a))			
102 78 202 39 Independent claims in excess of 3 104 260 204 130 Multiple dependent claim, if not paid	149 690 249 345 For each additional invention to be			
104 260 204 130 Multiple dependent claim, if not paid 109 78 209 39 ** Reissue independent claims	examined (37 CFR § 1.129(b))	ļ		
over original patent	Other fee (specify)			
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	Other fee (specify)			
SUBTOTAL (2) (\$) 396.00	Reduced by Basic Filing Fee Paid SUBTOTAL (3)	40.00		
SUBMITTED BY Complete (if applicable)				
Name (Print/Type) Alan W. Young, Esq. Registration No. (Attorney/Agent) 37,970 Telephone 650-				
Signature O	Date Jan. 2	4.2000		

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